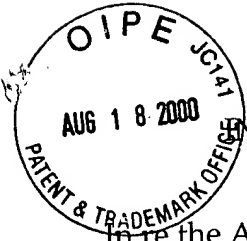


(3)



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

SHAH, Pallavi et al.

Serial No: 09/551,523

Filed: April 18, 2000

For: CONTROLLING ACCESS TO  
INFORMATION OVER A MULTIBAND  
NETWORK

RESPONSE TO NOTICE TO FILE MISSING PARTS

## BOX MISSING PARTS

Assistant Commissioner for Patents  
Washington, D. C. 20231

Sir:

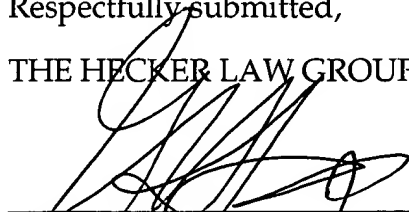
Responsive to the Notice to File Missing Parts of Application with a mailing date of June 21, 2000, Applicant has enclosed the following:

1. A check in the total amount of \$1,558.00, consisting of basic filing fee (\$690), additional claim fees (\$738), surcharge fee (\$130) set forth in 37 C.F.R. § 1.16(e);
2. Original signed Declarations and Powers of Attorney by inventors Pallavi Shah, Keith Deutsch and Gerard Fernando;
3. A copy of the Missing Parts Notice;
4. Certificate of Mailing by Express Mail; and
5. A return receipt postcard.

The Commissioner is hereby authorized to charge any additional fees, or credit any overpayment associated with this communication to The Hecker Law Group, Deposit Account No. 08-1520. A duplicate copy of this authorization is also enclosed.

Respectfully submitted,  
THE HECKER LAW GROUP

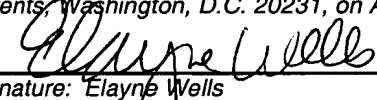
Date: August 18, 2000

  
\_\_\_\_\_  
Gary A. Hecker  
Reg. No. 31,023

1925 Century Park East  
Suite 2300  
Los Angeles, California 90067  
(310) 286-0377

**CERTIFICATE OF MAILING**

*I hereby certify that this correspondence is being deposited with sufficient postage with the United States Postal Service as Express Mail Label No. EL582495556US in an envelope addressed to: Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231, on August 18, 2000.*

  
\_\_\_\_\_  
Signature: Elayne Wells  
August 18, 2000  
Date

## FORMALITIES LETTER



\*OC00000005190209\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/551,523	04/18/2000	Pallavi Shah	83000.1069/P3523

Gary A Hecker  
The Hecker Law Firm  
1925 Century Park East  
Suite 2300  
Los Angeles, CA 90067

Date Mailed: 06/21/2000



## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$738.
  - \$504 for 28 total claims over 20.
  - \$234 for 3 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1558.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

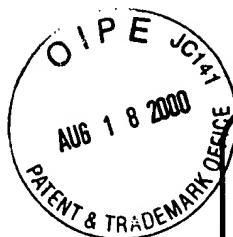
PART 2 - COPY TO BE RETURNED WITH RESPONSE

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Please type a plus sign (+) inside this box → ☐
 PTO/SB/21 (6-98)  
 Approved for use through 09/30/2000. OMB 0651-0031  
 Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	09/551,523	
	Filing Date	APRIL 18, 2000	
	First Named Inventor	SHAH, Pallavi	
	Group Art Unit		
	Examiner Name		
Total Number of Pages in This Submission	11	Attorney Docket Number	83000.1069/P3523/RSR

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below) Copy of Notice of Missing Parts; Return receipt postcard
Remarks		

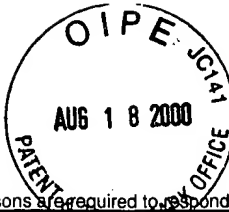
## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Gary A. Hecker THE HECKER LAW GROUP
Signature	
Date	August 18, 2000

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as <u>Express</u> mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date <u>August 18, 2000</u>			
Typed or printed name	Elayne Wells		
Signature		Date	August 18, 2000

 Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Assistant Commissioner for Patents, Washington, DC 20231.



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PTO/SB/17 (12/99)  
Approved for use through 09/30/2000. OMB 0651-0032  
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<b>FEE TRANSMITTAL</b> <b>for FY 2000</b> <small>Patent fees are subject to annual revision. Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28.</small>	<b>Complete if Known</b>	
	Application Number	09/551,523
	Filing Date	APRIL 18, 2000
	First Named Inventor	SHAH, Pallavi
	Examiner Name	n/a
	Group / Art Unit	n/a
	Attorney Docket No.	83000.1069/P3523/RSR
<b>TOTAL AMOUNT OF PAYMENT (\$)</b> 1,558.00		

<b>METHOD OF PAYMENT (check one)</b>  1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:  Deposit Account Number: <input type="text"/>  Deposit Account Name: <input type="text" value="The Hecker Law Group"/>  <input type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17  2. <input checked="" type="checkbox"/> <b>Payment Enclosed:</b> <input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other	<b>FEE CALCULATION (continued)</b>  <b>3. ADDITIONAL FEES</b> <table border="1"><thead><tr><th>Large Entity Fee Code</th><th>Small Entity Fee Code</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>105 130</td><td>205 65</td><td>Surcharge - late filing fee or oath</td><td>130.00</td></tr><tr><td>127 50</td><td>227 25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr><tr><td>139 130</td><td>139 130</td><td>Non-English specification</td><td></td></tr><tr><td>147 2,520</td><td>147 2,520</td><td>For filing a request for reexamination</td><td></td></tr><tr><td>112 920*</td><td>112 920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr><tr><td>113 1,840*</td><td>113 1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr><tr><td>115 110</td><td>215 55</td><td>Extension for reply within first month</td><td></td></tr><tr><td>116 380</td><td>216 190</td><td>Extension for reply within second month</td><td></td></tr><tr><td>117 870</td><td>217 435</td><td>Extension for reply within third month</td><td></td></tr><tr><td>118 1,360</td><td>218 680</td><td>Extension for reply within fourth month</td><td></td></tr><tr><td>128 1,850</td><td>228 925</td><td>Extension for reply within fifth month</td><td></td></tr><tr><td>119 300</td><td>219 150</td><td>Notice of Appeal</td><td></td></tr><tr><td>120 300</td><td>220 150</td><td>Filing a brief in support of an appeal</td><td></td></tr><tr><td>121 260</td><td>221 130</td><td>Request for oral hearing</td><td></td></tr><tr><td>138 1,510</td><td>138 1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr><tr><td>140 110</td><td>240 55</td><td>Petition to revive - unavoidable</td><td></td></tr><tr><td>141 1,210</td><td>241 605</td><td>Petition to revive - unintentional</td><td></td></tr><tr><td>142 1,210</td><td>242 605</td><td>Utility issue fee (or reissue)</td><td></td></tr><tr><td>143 430</td><td>243 215</td><td>Design issue fee</td><td></td></tr><tr><td>144 580</td><td>244 290</td><td>Plant issue fee</td><td></td></tr><tr><td>122 130</td><td>122 130</td><td>Petitions to the Commissioner</td><td></td></tr><tr><td>123 50</td><td>123 50</td><td>Petitions related to provisional applications</td><td></td></tr><tr><td>126 240</td><td>126 240</td><td>Submission of Information Disclosure Stmt</td><td></td></tr><tr><td>581 40</td><td>581 40</td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr><tr><td>146 690</td><td>246 345</td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td></td></tr><tr><td>149 690</td><td>249 345</td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td><td></td></tr><tr><td colspan="4">Other fee (specify) _____</td></tr><tr><td colspan="4">Other fee (specify) _____</td></tr><tr><td colspan="3"><b>Reduced by Basic Filing Fee Paid</b></td><td><b>SUBTOTAL (3) (\$)</b> 130.00</td></tr></tbody></table>	Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid	105 130	205 65	Surcharge - late filing fee or oath	130.00	127 50	227 25	Surcharge - late provisional filing fee or cover sheet		139 130	139 130	Non-English specification		147 2,520	147 2,520	For filing a request for reexamination		112 920*	112 920*	Requesting publication of SIR prior to Examiner action		113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action		115 110	215 55	Extension for reply within first month		116 380	216 190	Extension for reply within second month		117 870	217 435	Extension for reply within third month		118 1,360	218 680	Extension for reply within fourth month		128 1,850	228 925	Extension for reply within fifth month		119 300	219 150	Notice of Appeal		120 300	220 150	Filing a brief in support of an appeal		121 260	221 130	Request for oral hearing		138 1,510	138 1,510	Petition to institute a public use proceeding		140 110	240 55	Petition to revive - unavoidable		141 1,210	241 605	Petition to revive - unintentional		142 1,210	242 605	Utility issue fee (or reissue)		143 430	243 215	Design issue fee		144 580	244 290	Plant issue fee		122 130	122 130	Petitions to the Commissioner		123 50	123 50	Petitions related to provisional applications		126 240	126 240	Submission of Information Disclosure Stmt		581 40	581 40	Recording each patent assignment per property (times number of properties)		146 690	246 345	Filing a submission after final rejection (37 CFR § 1.129(a))		149 690	249 345	For each additional invention to be examined (37 CFR § 1.129(b))		Other fee (specify) _____				Other fee (specify) _____				<b>Reduced by Basic Filing Fee Paid</b>			<b>SUBTOTAL (3) (\$)</b> 130.00
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<b>SUBMITTED BY</b>		<b>Complete (if applicable)</b>	
Name (Print/Type)	Gary A. Hecker	Registration No. (Attorney/Agent)	31,023
Signature		Telephone	(310) 286-0377
		Date	August 18, 2000

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